

Disclosure with Respect to Order Flow

All broker-dealers are required to disclose their policies with respect to payment for order flow practices. Under the SEC's broad definition, payment for order flow may include all forms of arrangements compensating broker-dealers for directing order flow, including monetary payment, reciprocal agreements, services, property, or any other benefit that results in remuneration, compensation or consideration to a broker-dealer in return for routing of customer orders as well as exchange rebates and credits. Therefore please be advised of the following:

In certain cases, optionsXpress and/or optionsXpress, Inc. may participate in programs which result in our receipt of remuneration, compensation or other consideration for the placing of orders with other broker-dealers, exchanges and market centers for execution. optionsXpress and/or optionsXpress, Inc. may also participate in programs which result in other broker-dealers' receipt of remuneration, compensation or other consideration for the placing of orders with optionsXpress, Inc. for execution. Additional information regarding the source and nature of the compensation will be furnished upon written request to our customer service department.

Although this is an accepted practice, at all times we are committed to achieving the best execution for our clients.

In accordance with the Exchange Act Rule, optionsXpress provides this link to a standardized quarterly report containing statistical information about non-directed order executions and option executions.

- [Q4 2011 \(PDF\)](#)
- [Q3 2011 \(PDF\)](#)
- [Q2 2011 \(PDF\)](#)
- [Q1 2011 \(PDF\)](#)
- [Q4 2010 \(PDF\)](#)
- [Q3 2010 \(PDF\)](#)
- [Q2 2010 \(PDF\)](#)
- [Q1 2010 \(PDF\)](#)
- [Q4 2009 \(PDF\)](#)

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